

6-1  
JAN 18 2002  
PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.

09469.006001; 97.0003

In Re Application Of: Glen H. Mullen, et al.

Serial No.  
09/982,072

Filing Date  
October 18, 2001

Examiner

Group Art Unit  
2131

Title:

SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

RECEIVED  
FEB 05 2002  
Technology Center 2100

Transmitted herewith is:

Preliminary Amendment;  
Certificate of Mailing By First Class Mail (1.10);  
Postcard

in the above identified application.

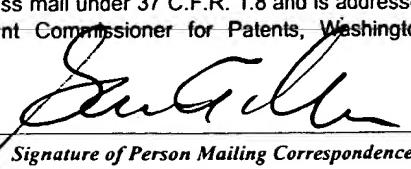
- No additional fee is required.  
 A check in the amount of \_\_\_\_\_ is attached.  
 The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
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Signature

Dated: December 18, 2001

Richard A. Fagin, Reg. No.: 39,182  
Rosenthal & Osha L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010  
Telephone No.: (713) 228-8600  
Facsimile No.: (713) 228-8778

I certify that this document and fee is being deposited on 12/18/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Grace A. Wise

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s):

Docket No.

09469.006001; 97.0003

Serial No.  
09/982,072Filing Date  
October 18, 2001

Examiner

Group Art Unit  
2131Invention: **SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**

**RECEIVED**  
*FEB 05 2002*  
*Technology Center 2100*

I hereby certify that this **Preliminary Amendment***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**December 18, 2001***(Date)***Grace A. Wise***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.

C.I.P. U.S.C.A.  
JAN 18 2001

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.

09469.006001; 97.0003

In Re Application Of: Glen H. Mullen, et al.

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
09/982,072

Filing Date  
October 18, 2001

Examiner

Group Art Unit  
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Title:

SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE

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Technology Center 2100

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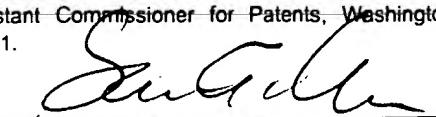
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Signature of Person Mailing Correspondence

Grace A. Wise

Typed or Printed Name of Person Mailing Correspondence

CC:

*Rec'd [Signature]*

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) Large Entity**

Docket No.  
09469.006001; 97.0003

In Re Application Of: **Glen H. Mullen, et al.**

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
**09/982,072**

Filing Date  
**October 18, 2001**

Examiner

Group Art Unit  
**2131**

Invention:

**SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

11/27/2001

*Date*

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

11/24/2002 ADME551 00000027 09982072

01 FC:105

130.00 SP

# 3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.

09469.006001; 97.0003

In Re Application Of: **Glen H. Mullen, et al.**

JAN 18 2002

PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
1976

Serial No.  
**09/982,072**

Filing Date  
**October 18, 2001**

Examiner

Group Art Unit  
**2131**

Invention:

**SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

- Utility application filing fee \_\_\_\_\_
- Design application filing fee \_\_\_\_\_
- Total number of independent claims = \_\_\_\_\_
- Total number of claims = \_\_\_\_\_
- Multiple dependent claims \_\_\_\_\_
- Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**
- Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_
- Fee for processing an application filed with a non-English language specification \_\_\_\_\_
- Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_

*Date*

until: \_\_\_\_\_

*Date*

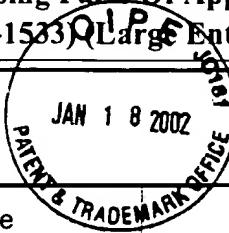
Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1583) (Large Entity)

Docket No.  
09469.006001; 97.0003

In Re Application Of: Glen H. Mullen, et al.



Serial No.  
09/982,072

Filing Date  
October 18, 2001

Examiner

Group Art Unit  
2131

Invention:

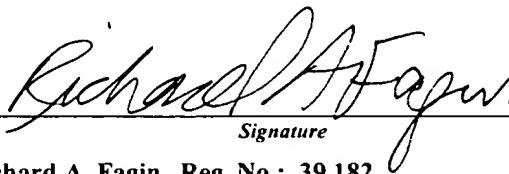
SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.



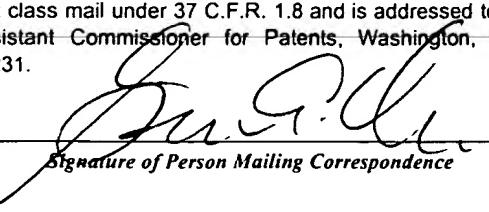
Richard A. Fagin

Signature

Richard A. Fagin, Reg. No.: 39,182  
Rosenthal & Osha L.L.P.  
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Houston, Texas 77010  
Telephone No.: (713) 228-8600  
Facsimile No.: (713) 228-8778

Dated: December 18, 2001

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Grace A. Wise

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

In Re Application Of: **Glen H. Mullen, et al.**

**JAN 18 2002**

Docket No.  
**09469.006001; 97.0003**

Serial No.  
**09/982,072**

Filing Date  
**October 18, 2001**

Examiner

Group Art Unit  
**2131**

Invention:

**SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

11/27/2001

*Date*

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- Other (list):

#3

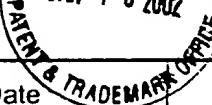
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-150) (Large Entity)**

Docket No.

09469.006001; 97.0003

In Re Application Of: **Glen H. Mullen, et al.**

JAN 18 2002



Serial No.

09/982,072

Filing Date

October 18, 2001

Examiner

Group Art Unit

2131

Invention:

**SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Box Missing Parts

Completion of application fees as calculated below:

Utility application filing fee \_\_\_\_\_

Design application filing fee \_\_\_\_\_

Total number of independent claims = \_\_\_\_\_

Total number of claims = \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_

Date

until: \_\_\_\_\_

Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

# 3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-152A) (Large Entity)**

Docket No.

09469.006001; 97.0003

In Re Application Of: **Glen H. Mullen, et al.**

JAN 18 2002

Serial No.  
09/982,072Filing Date  
October 18, 2001

Examiner

Group Art Unit  
2131

Invention:

**SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE**TO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing PartsThe fee of **\$130.00** is to be paid as follows:

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Signature

Dated: **December 18, 2001****Richard A. Fagin, Reg. No.: 39,182****Rosenthal & Osha L.L.P.****1221 McKinney, Suite 2800****Houston, Texas 77010****Telephone No.: (713) 228-8600****Facsimile No.: (713) 228-8778**

I certify that this document and fee is being deposited on **12/18/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Grace A. Wise*

Typed or Printed Name of Person Mailing Correspondence

CC:

#3

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)		Docket No.
Applicant(s):		09469.006001; 97.0003
Serial No. 09/982,072	Filing Date October 18, 2001	Examiner
Group Art Unit 2131		
Invention: SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE		
<p>I hereby certify that this <u>Response to Notice to File Missing Parts and required papers</u>  <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>December 18, 2001</u>  <small>(Date)</small></p> <p style="text-align: right;"><u>Grace A. Wise</u>  <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p style="text-align: right;"><u>Grace A. Wise</u>  <small>(Signature of Person Mailing Correspondence)</small></p>		
<p>Note: Each paper must have its own certificate of mailing.</p>		